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*Admitted only in Maryland
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*Practice Limited to
Federal Agencies

August 2, 2002

WRITER'S DIRECT NUMBER:

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Commissioner for Patents
Washington, D.C. 20231

RECEIVED

AUG 06 2002

Technology Center 2600

Art Unit 2661

Re: U.S. Utility Patent Application
Appl. No. 09/988,066; Filed: November 16, 2001
For: **Backplane Interface Adapter With Error Control and Redundant Fabric**
Inventors: Ronak Patel *et al.*
Our Ref: 1988.0060006

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Request to Correct Inventorship In a Nonprovisional Patent Application Under 37 C.F.R. §1.48(a)(1);
2. A copy of an original executed Statement of a Person To be Added or Deleted As an Inventor Under 37 C.F.R. § 1.48(a)(2);
3. Fee Transmittal;
4. A copy of executed Declaration;
5. A copy of an original executed Consent of the Assignee Under 37 C.F.R. §1.48(a)(5);
6. Certificate Under 3.73(b);
7. Check No. 36093 in the amount of \$130.00 for Correction of Inventorship fee; and
8. One (1) return postcard.

EST

Commissioner for Patents
August 2, 2002
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Michael V. Messinger", is written over the printed name.

Michael V. Messinger
Attorney for Applicants
Registration No. 37,575

MVM/ayd/jmh
Enclosures

::ODMA\MHODMA\SKGF_DC1;37305;2
SKGF Rev. 2/15/02 dcw



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ronak PATEL *et al.*

Appl. No. 09/988,066

Filed: November 16, 2001

**For: Backplane Interface Adapter
With Error Control and
Redundant Fabric**

Confirmation No.: 7739

Art Unit: 2661

Examiner: *To be Assigned*

Atty. Docket: 1988.0060006

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Request To Correct Inventorship In A Nonprovisional Patent Application Under 37 C.F.R. § 1.48(a)(1)

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants request that the United States Patent and Trademark Office correct inventorship in the above-captioned nonprovisional patent application for which an oath or declaration has already been filed. Specifically Applicants request that the Office change the inventorship in this application from **Ronak Patel, Ming G. Wong, Yu-Mei Lin and Andrew Chang** to **Ronak Patel, Ming G. Wong, Yu-Mei Lin, Andrew Chang and Aris Wong**.

This request is accompanied by:

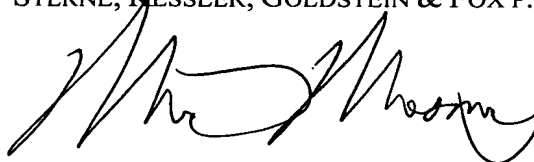
- (1) A statement from each person to be added as an inventor, and/or each person to be deleted as an inventor, stating that the error in inventorship was made without deceptive intent on his or her part;

- (2) An oath or declaration by the actual inventor or inventors as required by 37 C.F.R. § 1.63 or as permitted by 37 C.F.R. §§ 1.42, 1.43 or 1.47;
- (3) The written consent of the assignee, Foundry Networks, Inc. of the originally named inventors;
- (4) A copy of the Certificate under 37 C.F.R. § 3.73(b) executed by the assignee named in part (3); and
- (5) The processing fee as set forth under 37 C.F.R. § 1.17(i), in our attached check no. 36093 for \$130.00.

Applicants hereby authorize the U.S. Patent and Trademark Office to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Michael V. Messinger
Attorney for Applicants
Registration No. 37,575

Date: August 2, 2002

1100 New York Avenue, N.W.
Suite 600
Washington, D.C. 20005-3934
(202) 371-2600



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ronak PATEL *et al.*

Appl. No. 09/988,066

Filed: November 16, 2001

For: **Backplane Interface Adapter With
Error Control and Redundant Fabric**

Confirmation No.: 7739

Art Unit: 2661

Examiner: To Be Assigned

Atty. Docket: 1988.0060006

Consent of the Assignee Under 37 C.F.R. ' 1.48(a)(5)


Commissioner for Patents
Washington, D.C. 20231

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Sir:

Foundry Networks, Inc., assignee of the entire right, title and interest of the originally named inventors of the above-captioned application, consents to the change in inventorship from Ronak Patel, Ming G. Wong, Yu-Mei Lin and Andrew Chang to **Ronak Patel, Ming G. Wong, Yu-Mei Lin, Andrew Chang and Aris Wong**. I have read and understand 37 C.F.R. ' 10.18(b).

For: Foundry Networks, Inc.

Signature: 

Type or Print Name: Timothy D. Heffner

Title: Chief Financial Officer

Date: 7/25/02



Certificate Under 37 C.F.R. ' 3.73(b)

Applicant: Ronak PATEL et al.

Application No.: 09/988,066

Filed: November 16, 2001

Entitled: Backplane Interface Adapter with Error Control and Redundant Fabric

Foundry Networks, Inc.

(Name of Assignee)

, a Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An Assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012522, Frame 0146, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (*i.e.*, the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Signature: _____

Name: Timothy D. Heffner

Title: Chief Financial Officer

Date: 7/25/02

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U.S. PATENT & TRADEMARK OFFICE
AUG 02 2002

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$130.00)

Complete if Known

Application Number	09/988,066
Filing Date	November 16, 2001
First Named Inventor	Ronak Patel et al.
Examiner Name	To be Assigned
Group Art Unit	2661
Attorney Docket No.	1988.0060006

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number

19-0036

Deposit Account Name

Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☒ Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES

Extra	Fee from below	Fee Paid
Total Claims _____ - 20** = _____ X _____ = _____		
Indep. Claims _____ - 3** = _____ X _____ = _____		
Multiple Dependent _____ = _____		

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
108	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	481	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) : Correction of Inventorship \$1.48 C.F.R.

130.00

Other fee (specify) :

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Michael V. Messinger	Registration No. (Attorney/Agent)	37,575	Telephone	202-371-2600
Signature				Date	Aug 02, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.#37282v1<SKGF_DC1> -Fee transmittal for correction of inventorship.wpd